



NPS/Mike Quinn

Hydrology Unit

The field of Hydrology is primarily concerned with how water falls from the atmosphere, moves across the Earth and returns to the atmosphere. Biological processes of animals and plants have evolved over millions of years to adapt to, and depend on, when the right amount of water is available. Since all life on Earth depends on the existence of water, where water exists and when it exists are two of the most critical factors in determining if life can exist at any given location. Hydrologists seek to understand how water is provided by the atmosphere, where and how it moves across the surface of the earth, and attempt to predict when and how much will be available in the future.

In this unit we will discuss:

1. The Water Cycle
 - Forms and Causes of Precipitation
 - Spatial and Temporal Distribution of Precipitation in the Colorado River Basin
 - Evapotranspiration
2. How Rainfall Becomes Runoff
 - The Physical Processes
 - Rainfall-Runoff Models
 - How We Measure Runoff
3. How We Predict Future Runoff
 - Determining "Naturalized" Flows
 - Parametric Methods
 - Non-Parametric Methods



The Water Cycle

The water cycle is something most people learn about early in school, but it is also something so complex that people spend their careers trying to understand the details of how it works. We know that water is the most abundant liquid on the Earth, and it can present itself in many different forms or phases, including a solid, a liquid, or a vaporized gas. From basic chemistry, we know that it turns from a solid to a liquid at 0 degrees Celsius (32 degrees Fahrenheit) and it turns from a liquid to a gas at 100 degrees Celsius (212 degrees Fahrenheit). It has some very unique properties such as the fact that the solid form (ice) has a lighter density than its liquid form (water). Most substances get denser as they freeze. Imagine how different the polar ice caps would behave if ice sank to the bottom of the ocean!

We also know that the water cycle is a process that has been occurring for billions of years. Although water molecules can form and be destroyed, most water that we encounter has been transferred from one phase to another countless times throughout the history of the Earth. If we look at a snapshot of the Earth, water is distributed as follows:

As you can see, only 96.5% of the total amount of water is in the oceans, seas, and bays. Even more interesting is the amount of water that is in a liquid freshwater form (i.e. lakes and rivers) where it is available for terrestrial life to use (0.008%). If you consider how long water is stored in the polar regions and in glaciers (hundreds of years to hundreds of thousands of years), and in the saline oceans (up to millions of years), or deep groundwater aquifers (tens of thousands of years), water passes through the Earth in a non-saline liquid phase through rivers, streams, and lakes for a very brief time (minutes to hundreds of years).

Water source	Water volume, in cubic miles	Water volume, in cubic kilometers	Percent of fresh water	Percent of total water
Oceans, Seas, & Bays	321,000,000	1,338,000,000	--	96.5
Ice caps, Glaciers, & Permanent Snow	5,773,000	24,064,000	68.7	1.74
Groundwater	5,614,000	23,400,000	--	1.7
Fresh	2,526,000	10,530,000	30.1	0.76
Saline	3,088,000	12,870,000	--	0.94
Soil Moisture	3,959	16,500	0.05	0.001
Ground Ice & Permafrost	71,970	300,000	0.86	0.022
Lakes	42,320	176,400	--	0.013
Fresh	21,830	91,000	0.26	0.007
Saline	20,490	85,400	--	0.006
Atmosphere	3,095	12,900	0.04	0.001
Swamp Water	2,752	11,470	0.03	0.0008
Rivers	509	2,120	0.006	0.0002
Biological Water	269	1,120	0.003	0.0001
Total	332,500,000	1,386,000,000	-	100

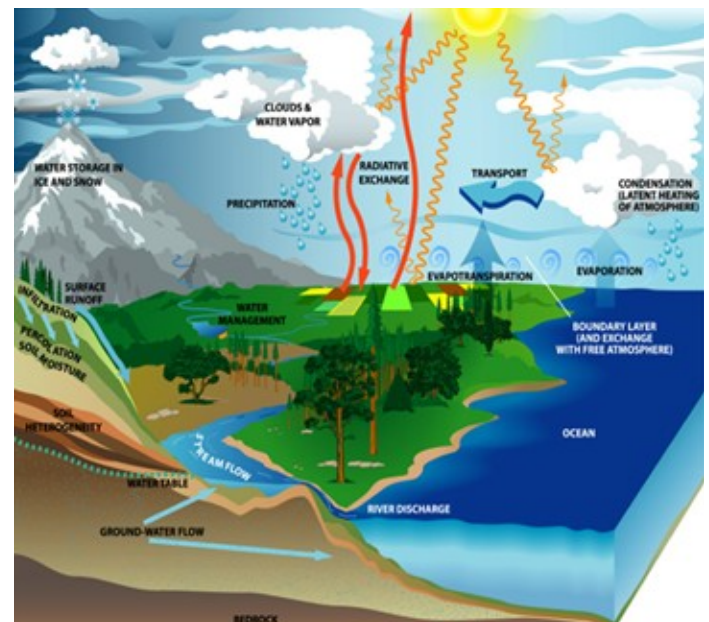
The Water Cycle (continued)

Starting with precipitation, water condenses from the water vapor in the sky in the form of rain, snow, or hail. The form of the precipitation that falls to the Earth is dependent on air temperature both in the clouds where the water condenses and on the air temperature of the Earth.

- If the air temperature is above the freezing point, water will either be absorbed into the soil and porous rock of the Earth's surface as groundwater or flow across the surface to lakes or rivers as runoff. Water may emerge from groundwater to form springs after being below the Earth's surface for many thousands of years or discharge directly into the oceans.
- If the air temperature of the Earth is below the freezing point, snow or ice can persist in solid form for many months until temperatures raise enough to melt the solid to a liquid form.

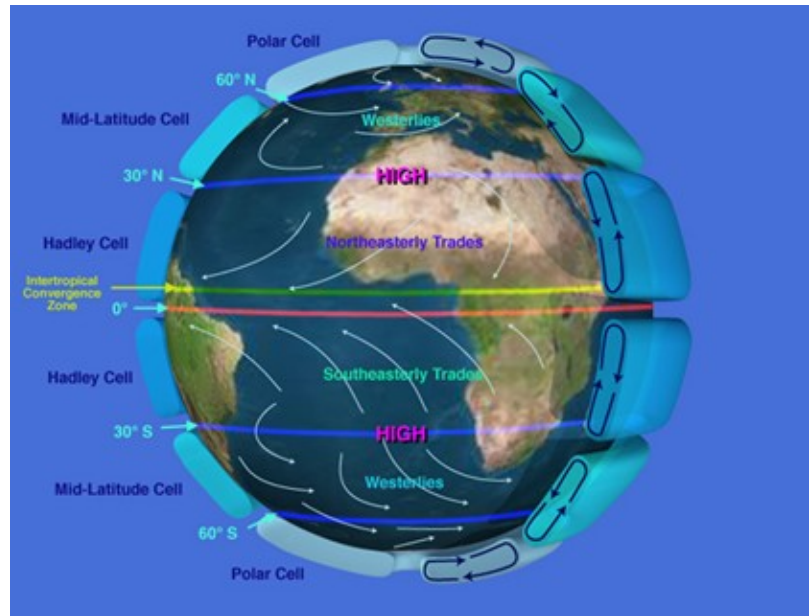
Water also re-enters the atmosphere from either its liquid phase or its solid phase. When air temperature raises and/or humidity in the air decreases, water evaporates directly from the ocean, soils, lakes, and rivers to the atmosphere. Similarly, when humidity decreases but air temperature remains below the freezing point, water from snow and ice can sublimate directly into the atmosphere.

The presence of vegetation greatly increases the rate of transfer of water from liquid phase to the gaseous vapor phase. Plants draw water from the soil moisture and transpire it to the atmosphere. The combined effect of evaporation and transpiration is often termed evapotranspiration. Depending on the type of vegetation, forested hillside can transpire much more water than bare hillsides. However vegetation also allows water to percolate deeper into the soil and provides shade that slows the rate of direct evaporation. In contrast, bare hillsides might not be able to absorb much moisture during rain events due to a "crusted" surface layer and may not stay moist for more than a few months during a rainy season due to a lack of shade. Therefore the net effect of vegetation is often storing water in bio-mass and releasing it to the atmosphere year-round. In addition, the moisture transpired from a forest increases the relative humidity of the atmosphere above it and therefore increases the potential for precipitation events. This is why deforested surfaces often take much longer to regrow than one would expect just based on the growth rate of the vegetation.



Water in Motion

The motion of the Earth causes water vapor to migrate from one part of the atmosphere to the next on air currents. Depending on your location on the Earth and the particular season, the predominant winds in the atmosphere may be coming from one particular direction. One common explanation for why winds tend to be from one direction is the concept of "atmospheric cells." This theory identifies a semi-closed cell termed a "Hadley cell" of moist air that tends to rise near the equator causing a zone of low pressure, moves towards the poles when it reaches approximately 14 kilometers, and descends around 30 degrees latitude as cooler dry air, causing a band of high pressure. Similarly a "Polar cell" is formed by warm air around 60 degrees latitude, causing a band of low pressure that raises up into the atmosphere and moves towards the poles where it descends as cold dry air. Between these two cells is another cell, the "Ferrel cell," that is generally caused by the existence of the first two. With winds moving to zones of low pressure (near the equator, around 60 degrees north and south) from zones of high pressure (around 30 degrees north and south and at the poles), and coupling these cycles with the rotation of the Earth, a global circulation pattern can be estimated.



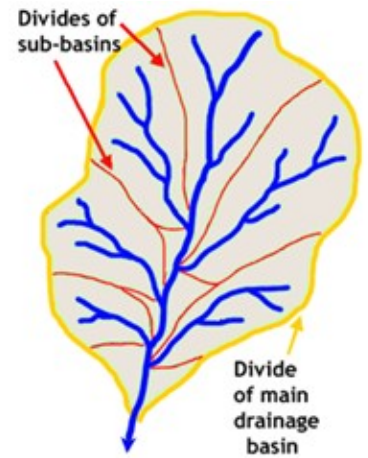
Orographic Effects

As moist air passes over a land mass, the air is forced upwards higher into the atmosphere. Since the air temperature decreases by an average of 6.49 degrees Celsius per 1000 meters (3.56 degrees Fahrenheit per 1000 ft), the cooler air is less able to hold water and reaches a point where 100% relative humidity is achieved. This results in heavier precipitation rates on windward sides of mountain ranges and drier conditions on leeward sides of mountain ranges. This effect is often referred to as a rain shadow.



Precipitation to Runoff

We all know that when precipitation falls from the sky in the form of either rain or snow, that precipitation may do many things. First, if water falls in the form of a light rain, much of that water may infiltrate into the soil. If this is true, much of the water might be evapotranspired and very little of it might be available for streamflow. On the other hand, if the rainfall becomes heavy, the soil may first start to absorb water, but once it becomes saturated, any additional water that falls might begin to run across the surface. This is called infiltration excess overland flow. The ability of the Earth to absorb rainfall is highly dependent on the type of soil. For example, a gravelly hillside might absorb water very fast while a field of fine soil particles (such as silt or clay) may not allow water to pass through very fast at all, thereby creating overland flow shortly after a rainstorm event begins. As water flows across the surface it tends to form small channels. When several small streams join together, we typically use the terms watershed or catchment to describe the physical surface area that contributes water to a particular point in a stream or small river. As more and more streams join together to form rivers, we often use the term basin to describe the contributing area. It is interesting to note that there are no strict differences between the definitions of a watershed, catchment, or basin. This is because there is a continuum of small to large areas that can contribute flows to river channels and you can see that a large basin is just made up of several smaller sub-basins, watersheds, or catchments.



Measuring Runoff

To really understand how much water flows across the Earth's surface or out of one basin, we must measure it. At first this seems like an easy task, but it can be very difficult depending on the specific site conditions. To understand how much water is flowing through a channel at any given time, we have to establish a relationship between the depth of flow and the amount of flow. This way if we know how deep the water is, we know what the flow rate is through the channel. Since natural channels exist in all shapes and sizes we have to use one of two methods to understand this relationship: constrain the channel to a known geometry or develop a rating curve.

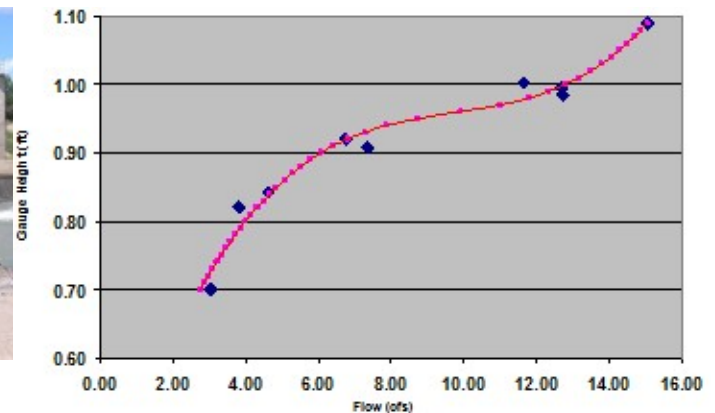
Constraining Nature

Hydraulic theories and laboratories are used to develop shapes that have unique depth-flow relationships that can be calculated mathematically. Flumes and weirs are structures that have these properties, so to make a natural channel into one where the flow can be easily measured, one of these structures can be built into the channel.



Rating Curves

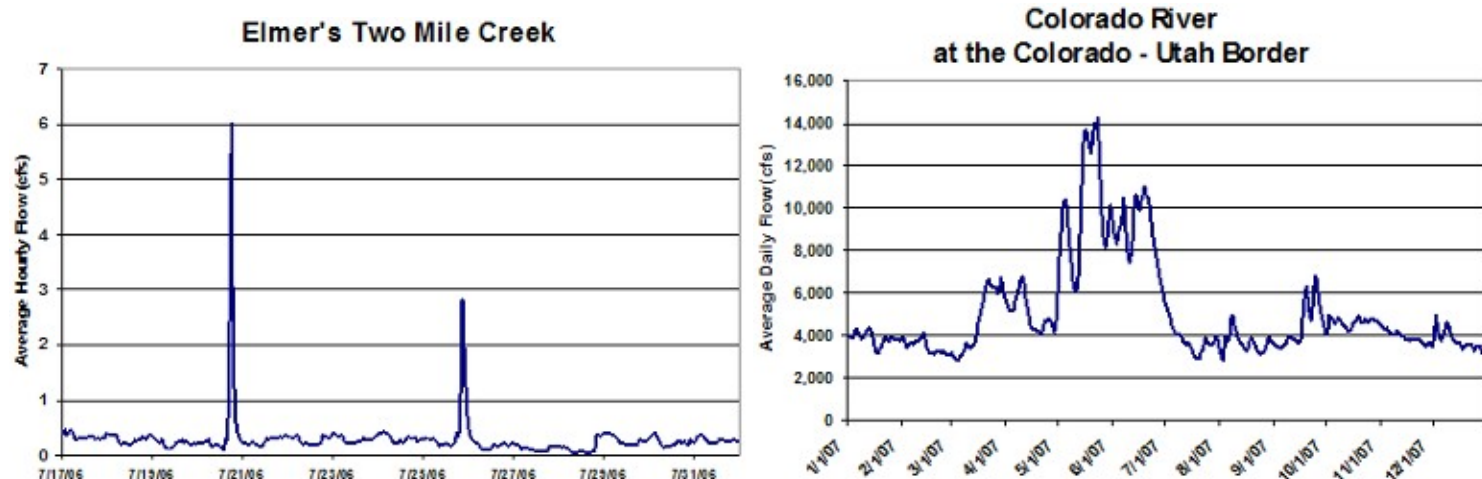
Flows in natural channels can be measured with the use of velocity meters throughout cross sections of the channel. By determining the flows at one particular point of time along with the depth of flow (also called stage), we know one point on a rating curve. By making many measurements at different flows and depths, we can compile this information to develop a rating curve for many natural channels.



Precipitation to Runoff (continued)

We can measure how much water passes through a stream or river channel to understand the rainfall-runoff relationship that is unique for that area. The pattern that water flows out of a watershed is often called the hydrograph. These are typically shown as a plot of time vs. flow. Look at the figures below. These are hydrographs for very different sized rivers. In the figure on the left you can see how two rainstorms created spikes in the hydrograph for Elmer's Two Mile Creek. Now look at the figure on the right and see how this river is obviously much larger. In this hydrograph you can clearly see the seasonality of the flows.

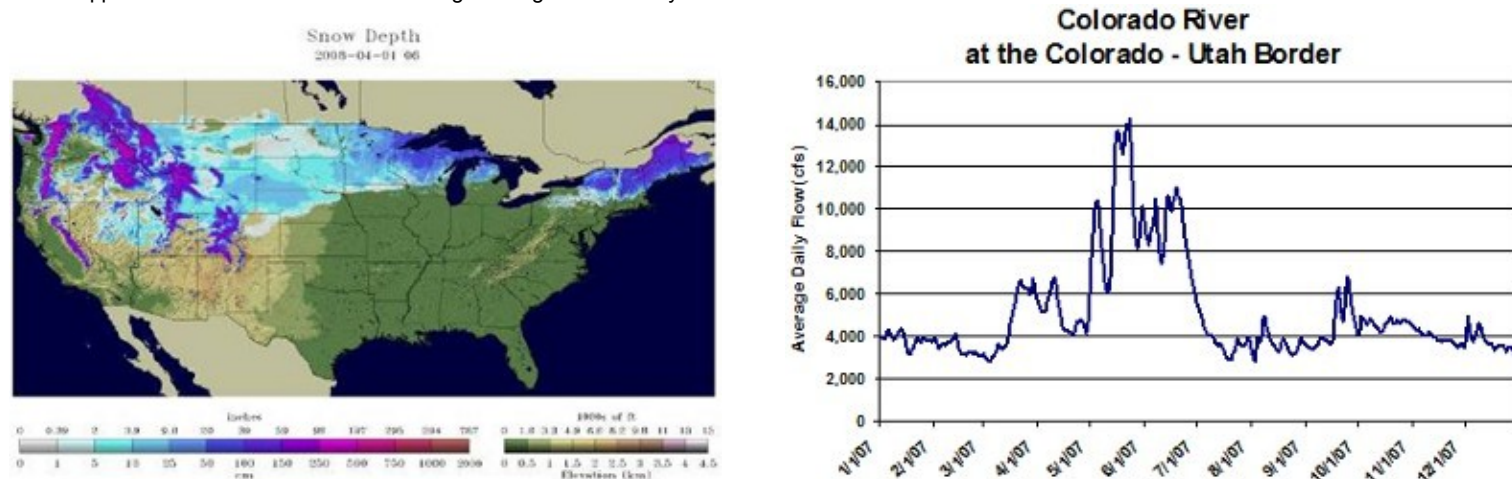
We discussed the possibility that precipitation falls as snow during cold periods of the year and may accumulate over many months before the air temperature increases sufficiently that the snow begins to melt. This is particularly important in basins that have high altitudes or cold winters, such as much of the Colorado River Basin. We will discuss this particular phenomenon more next.



Precipitation Distribution in the Colorado River

All of the concepts of the water cycle apply to the Colorado River Basin. The majority of the moisture that falls upon the Colorado River Basin originates from the Pacific Ocean and travels eastward through the atmosphere. Orographic lifting of the moist air mass results in precipitation on the western slope of the Rocky Mountains. The Colorado River Basin is often called a snowmelt dominated system because so much of the surface area is at high elevation where the air temperature is below the freezing point for many months of the year and precipitation falls as snow. This snow often persists in a deep snowpack until the spring when the temperature raises above the melting point and the snow begins to percolate into the groundwater or become surface runoff. Look at the Hydrograph below for the Colorado River and notice when the high season flows begin. This corresponds to when the snow begins to melt. It is also clear from the graph when the last of the snowpack is melted from the high mountains.

Lower elevations in the Colorado River Basin typically receive little precipitation and are considered deserts. However these are often the scenes of spectacular thunderstorms that provide substantial amounts of rainfall during short periods of time. Unfortunately for water planners, these occasional deluges are not frequent enough to be considered a reliable source of freshwater and therefore Lower Basin water users have become highly dependent on receiving water supplies from the Colorado River that originate high in the Rocky Mountains.

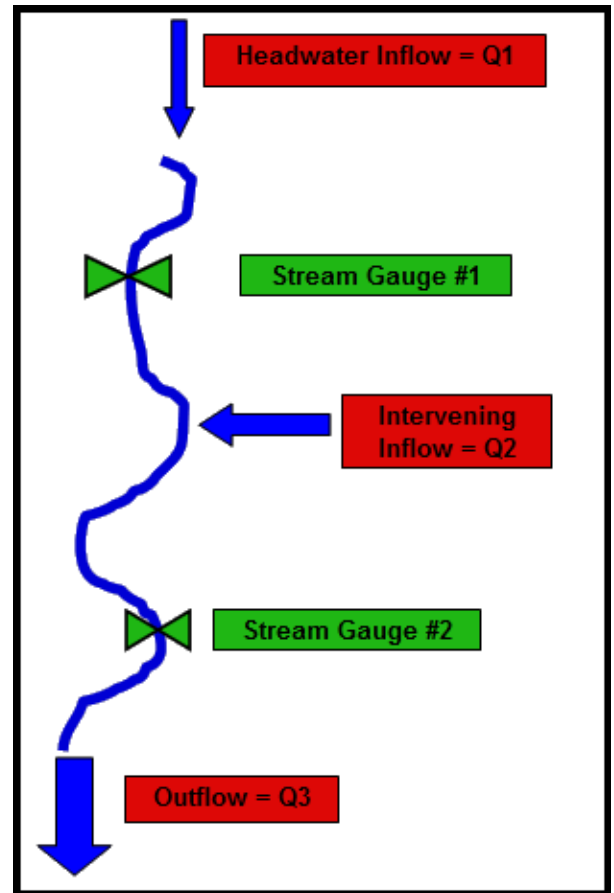


Determining Natural Inflows

We develop models to allow us to estimate runoff from precipitation, but the direct knowledge of what flows have occurred in the past gives us the best information of what may happen in the future, therefore an important part of hydrology is being able to measure flows inside a river channel. People began to record flows in the Colorado River as early as 1898 and continue to do so today. Through a series of stream gauges along the River, we know how much water is passing by at each location at any given moment. Determining how much water enters (or leaves) a stream at any location is estimated by subtracting the flows measured between two nearby stream gauges.

Look at the simplified representation of a river in figure on the right. Imagine that we know how much water passes by the two stream gauges (in green) over one day and we want to determine the amount of water entering and leaving the river (in red) during that day. By looking at the figure we can see that:

1. The Headwater Inflow (Q_1) is determined by how much water passes Gauge #1
 $Q_1 = \text{Stream Gauge \#1}$
2. The Intervening Inflow (Q_2) is determined by subtracting the flows passing Stream Gauge #1 from Stream Gauge #2
 $Q_2 = \text{Stream Gauge \#2} - \text{Stream Gauge \#1}$
3. Of course the Outflow is equal to the flow passing through Stream Gauge #2 or it can also be seen as the sum of the Headwater Inflow and the Intervening Inflow
 $Q_3 = \text{Stream Gauge \#2} = Q_1 + Q_2$

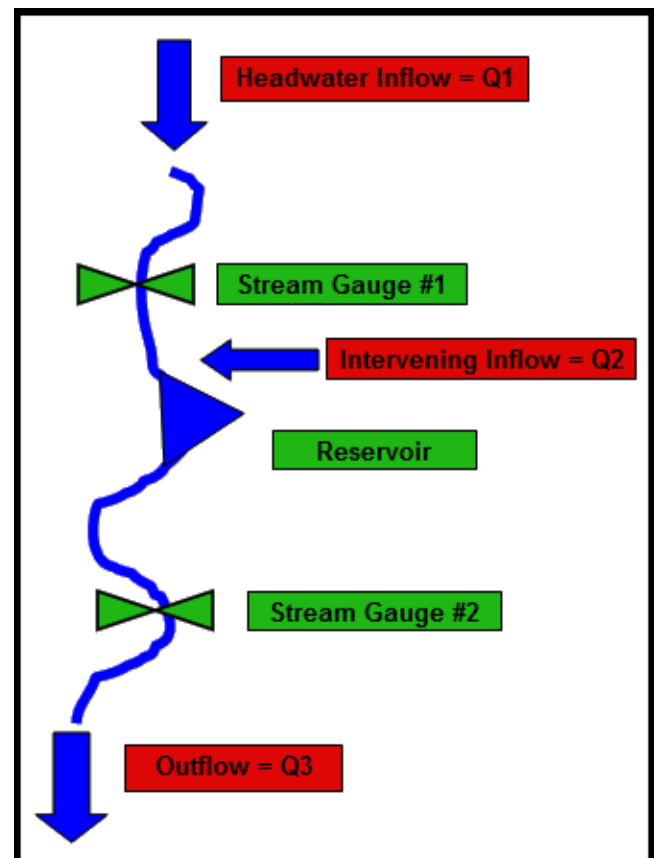


Determining Natural Inflows (continued)

This is easy math, but now let's make it a little more complicated. Now imagine that a reservoir is built between Stream Gauge #1 and Stream Gauge #2, like in the figure on the right. If we know how much water passes the gauges during the day, how much water is in the reservoir today [Storage (t)], and how much water was in the reservoir yesterday [Storage ($t-1$)], we want to again figure out how much water is entering and leaving the river between yesterday and today (Q_1 , Q_2 , Q_3).

1. The Headwater Inflow (Q_1) is determined by how much water passes Stream Gauge #1
 $Q_1 = \text{Stream Gauge \#1}$
2. The Outflow (Q_3) is equal to flow passing through Stream Gauge #2. Now we can also see that the outflow is comprised of three parts. It is the sum of Headwater Inflow plus the Intervening Inflow plus any extra water that the reservoir released between yesterday and today OR less any water that the reservoir stored between yesterday and today.
 $Q_3 = \text{Stream Gauge \#2} = Q_1 + Q_2 + [\text{Storage } (t) - \text{Storage } (t-1)]$
3. The Intervening Inflow (Q_2) is determined by turning around the last equation. First take how much water passed through Stream Gauge #2, then subtract how much water passed through Stream Gauge #1, then subtract any water the reservoir released between yesterday and today OR add any water that the reservoir stored between yesterday and today.
 $Q_2 = Q_3 - Q_1 - ([\text{Storage } (t) - \text{Storage } (t-1)])$

This is the process of using stream gauges to determine Naturalized Flows. This is important to us because if we want to estimate how much water will be available in the future, we need to understand how much water was naturally available in the past. In reality, this process gets much more complicated because there are many water users, losses to evaporation, and losses to groundwater that need to be considered.



Using History to Estimate the Future: Overview

Once we have a record of Naturalized Inflows, we can use this as our best tool for estimating what flows might occur in the future. Many methods exist for using these Naturalized Inflows in models to predict future conditions.

- We will first discuss the simple case of getting hydrologic inputs for one model run. This would require obtaining a time series of data over the period that the model is attempting to predict. We will call this time series one "trace." This information would then allow us to understand how the system (including the reservoirs, water users, river reaches, etc.) would react under that specific hydrologic condition.
- Since the nature of hydrology is inherently uncertain, the next step is to figure out ways to generate many hydrologic inputs that are realistic, but still allow us to see the nature of the system under a wide variety of possible conditions.

We will discuss three general methods for using historical flows to estimate future flows including:

1. Repeated History Methods
2. Parametric Methods (also known as statistical methods)
3. Non-Parametric Methods

Each of these methods has distinct advantages and disadvantages. The goal of this section is to explain the basic concepts of each of these methods. We will do some exercises so you can see how one of these methods works.

Using History to Estimate the Future: 1) Repeated History Methods

The most basic method would be to take a sequence of flows that occurred in history and assume that they will reoccur in the future, in exactly the same magnitude and order as they did in the past.

So let's say we have a 10-year record of flows from 1900 to 1909 and we want to use this to predict the future for the next 10 years (say from 2010 to 2019). We could assume that we could map the flow that occurred during historical years to the flows that will occur in future years as follows:

Prediction Year	Historical Year
2010	1900
2011	1901
2012	1902
2013	1903
2014	1904
2015	1905
2016	1906
2017	1907
2018	1908
2019	1909

The inflows that occurred in these historical years can be put into our model for the prediction years and the model can be run from 2010 to 2019.

The one thing that we know about the future is that it will not exactly replicate the past. It often comes close, but it would be highly unlikely that 2010 will act exactly like 1900, 2011 will be exactly like 1901, etc. Fortunately with the power of models, we can run them many times under many different assumptions.

Using History to Estimate the Future: 1) Repeated History Methods (continued)

Index Sequential Method (ISM)

One very common method to develop multiple traces is to start with a historical sequence and then to offset the starting historical year by one year and rerun the model again, then offset the starting year again by one year and rerun the model again etc. So with the same set of historical inflows from 1900 to 1909, we could have 10 different possible hydrologic sequences, or traces, that can be used in 10 different model runs.

Prediction Year	Trace 1	Trace 2	Trace 3	Trace 4	Trace 5	Trace 6	Trace 7	Trace 8	Trace 9	Trace 10
2010	1900	1901	1902	1903	1904	1905	1906	1907	1908	1909
2011	1901	1902	1903	1904	1905	1906	1907	1908	1909	1900
2012	1902	1903	1904	1905	1906	1907	1908	1909	1900	1901
2013	1903	1904	1905	1906	1907	1908	1909	1900	1901	1902
2014	1904	1905	1906	1907	1908	1909	1900	1901	1902	1903
2015	1905	1906	1907	1908	1909	1900	1901	1902	1903	1904
2016	1906	1907	1908	1909	1900	1901	1902	1903	1904	1905
2017	1907	1908	1909	1900	1901	1902	1903	1904	1905	1906
2018	1908	1909	1900	1901	1902	1903	1904	1905	1906	1907
2019	1909	1900	1901	1902	1903	1904	1905	1906	1907	1908

Notice that the years start over after we get to 1909. This is because we said that the historical record in our case only had 10 years of data (1900 to 1909). If we had 100 years of data (which we do for the Colorado River) we could generate exactly 100 traces using the Index Sequential Method.

Pros and Cons of Repeated History Methods:

Benefits

- It allows us to represent the sequences we have seen historically (except for when the “wrap around” occurs at the end of the historical record)
- By using the Index Sequential Method we can generate many traces
- Easily explainable and repeatable

Cons

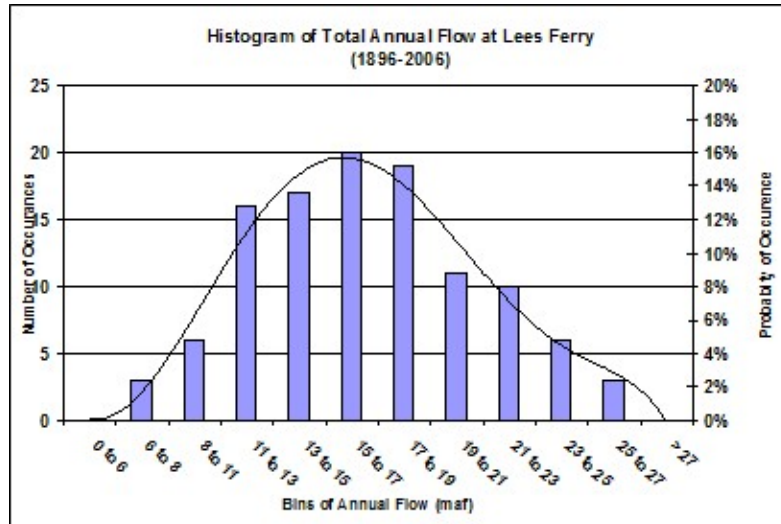
- It does not allow us to explore magnitudes of flows that we have not recorded in the past
- It does not allow us to explore droughts that persist longer than what we have recorded in the past

These two cons are major problems. If we assume that the future can only look like what has occurred since we started measuring flows (around 100 years), then we will never be able to use this method to understand the impacts of droughts worse than what we already know. Perhaps the record we have is not representative of how dry a region has historically been before we started recording flows! More so, now that we are concerned that climate change may present us with drier years than we have ever seen in our historical record, or there is the potential for longer droughts than we have ever seen in the past, using the repeated history methods is not sufficient for understanding the impacts of those unknown potential conditions.

Using History to Estimate the Future: 2) Parametric Methods

Another way of using historical flows to estimate future flows is to use probabilistic methods. By collecting all the historical total annual flow data, we can analyze it to see what the distribution of those data is. By categorizing the data into several bins, we can generate a histogram that roughly describes how the data is distributed. Several mathematical methods have been developed to describe the shape of various distributions with each one having a set of parameters that describe the shape (hence the name Parametric Methods). A simple example of a distribution function is called a Normal distribution, which is commonly referred to as a bell curve. The parameters for this typically are the mean (average), and the standard deviation. For the Colorado River, the data best fits to a distribution called a Contemporaneous Autoregressive Order 1 (CAR1).

Once a distribution function is determined then it is possible to create new hydrologic scenarios by sampling the distribution function many times. In other words, we can guess how much water might occur in any given year by choosing values in the range of possibilities with the likelihood of each value that could occur weighted by a probability of occurrence of that value. It is important to note that the low and high ends of the distribution functions typically extend beyond the flows we have historically measured.



Pros and Cons of Parametric Methods:

Benefits

- It allows us to represent both historical magnitudes and magnitudes of flow outside of recorded history
- We can generate many traces
- Distributions and parameter selection are based on accepted mathematical and statistical practices

Cons

- All distributions are an abstraction from reality and assumptions must be made as to whether a good enough fit is achieved
- Can generate values much wetter and much dryer than the historical record with very little physical basis

Parametric methods are powerful tools that allow us to make predictions of flows that we have not historically seen in the past. This is especially important to estimate the potential impacts of climate change. However it is important to point out that estimating flows outside of the known historical flows carries uncertainty. It can also be difficult to preserve multi-year sequences of events which the repeated methods do precisely.

Using History to Estimate the Future: 2) Non-Parametric Methods

New methods are being developed to use the strengths of repeated history methods but also allow a certain degree of randomness. These methods typically use history as a general pattern but do not try to fit a mathematical distribution that requires estimating parameters, hence they are called Non-Parametric Methods. This technique conditionally re-samples historic data based on the state of the historic data. In other words, we try to use history as a guide, based on the general condition of each year (e.g. Very Dry, Dry, Normal, Wet, or Very Wet), but allow some degree of randomness to decide exactly how much water will be input into the model.

Let's give an example. Imagine that we have a record of historical flows and we can categorize them based on 3 groups (dry, normal, and wet).

Year	Total Annual Flow	State	
1990	10.47	1	Dry
1991	13.58	2	Normal
1992	12.87	1	Dry
1993	21.28	3	Wet
1994	11.74	1	Dry
1995	22.10	3	Wet
1996	15.57	2	Normal
1997	22.02	3	Wet
1998	18.52	2	Normal
1999	17.68	2	Normal
2000	12.02	1	Dry

State Sequence	
2	Normal
2	Normal
1	Dry
1	Dry
1	Dry
3	Wet

Now imagine that we have a pattern that we want to simulate called our State Sequence. Let's say that we want to simulate two normal years followed by three dry years followed by one wet year. This might help us know if one good rainy year would help us recover from a three-year drought.

Using History to Estimate the Future: 2) Non-Parametric Methods (continued)

Now we want to develop several new scenarios that follow the same pattern as our state sequence that we are interested in. To develop a synthetic trace, at each time step we look at our historical list and pick years that fit the category that we want to simulate.

State Sequence		Trace #1	Trace #2	Trace #3	Trace #4	Trace #5
2	Normal	1998	1999	1996	1991	1998
2	Normal	1996	1991	1996	1999	1991
1	Dry	1994	2000	2000	1994	1992
1	Dry	1992	1990	1994	1990	1994
1	Dry	2000	1994	1994	1992	1990
3	Wet	1995	1993	1993	1997	1993

Here we have generated five synthetic (traces) that represent the pattern we are interested in by populating them with years that had historical flows that match the categories of the pattern. In other words, all the traces now have the Normal – Normal – Dry – Dry – Dry – Wet pattern. All we have to do now is to take the flows that occurred in those historical years and put them into our model.

Going Beyond Our Recorded History

While we know that the future will likely be similar to the recent past, we certainly cannot guarantee this. Unfortunately we only have about 100 years of actual flow data for the Colorado River to base our assumptions on. Perhaps there have been more severe or longer droughts that have occurred prior to our record of streamflow measurements. As a matter of fact, in 2002 the State of Colorado experienced a drought that was far worse than any on record or certainly worse than water planners expected. How can we get beyond what we are already sure of?

One way is to look deeper into our past by looking at tree-rings. There are trees in the Colorado River Basin that date back to 762 AD, giving us a much wider understanding of what the climate has been like in the past. As a matter of fact, we know from tree rings that there were droughts in the 1200s to 1300s that were much more severe than any we have seen in recent times. Using tree rings to understand pre-historic hydrologic conditions is called paleohydrology. Download the Excel-based interactive and locate the "Paleo Graph" worksheet or press the "Show Paleohydrology" button at the end of the Excel-based unit to see how we can extend our flow record using tree rings.

A big question is how accurate are the trees? Many studies have been done to estimate flows from tree rings, but the magnitudes often vary significantly. If we are basing policies that will determine the development of our cities and farms throughout the western United States, how much can we depend on these estimates? Scientists have struggled with this question, but one thing that is clear through all the studies is that the trees do allow us to see patterns such as how long droughts have lasted. Look again at the paleohydrology record and notice how long the low flow period lasted back around 1100 to 1200. We have seen some dry years in our measured flow record, but never for such a long period of time. Certainly cities and farmers would like to know what the effects of such a sustained drought would be, and we now have a method to estimate this.

So we can combine two important data sources to get a pretty solid idea of what the future may hold.

1. Measured flow data over the last 100 years gives us magnitudes of flows we will likely see again
2. Tree rings records provide us with patterns that go back as far as 762 AD. We can use these patterns to understand important issues like the duration of droughts. In other words, tree rings give us our State Sequences.

In the Excel-based interactive, find the Synthetic Trace Generator so we can explore how we can use these two sources of data to generate new stream flows. We will then use these data to drive the Basin Model.

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Slide 4

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- Wikimedia Commons/Babakathy. <http://en.wikipedia.org/wiki/File:B62doddieburn.jpg>

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